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jc813 U.S. PTO

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PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	01.EMT46.US
First Inventor	Gilad Lavi
Title	Needle Device and Method Thereof
Express Mail Label No.	EK934655069US

09/883025

06/17/01

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification [Total Pages 58] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	a. <input type="checkbox"/> Computer Readable Form (CRF)	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 30] (Formal)	b. Specification Sequence Listing on: <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul>	
5. Oath or Declaration [Total Pages ] <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</li></ul>	c. <input type="checkbox"/> Statements verifying identity of above copies	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		
<b>ACCOMPANYING APPLICATION PARTS</b>		
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))		
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney		
11. <input type="checkbox"/> English Translation Document (if applicable)		
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
13. <input type="checkbox"/> Preliminary Amendment		
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)		
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent.		
17. <input type="checkbox"/> Other: .....		
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) Prior application information: Examiner: Group Art Unit: <b>60 / 215,770</b> <b>prov filed 6/30/00</b> <b>* This application claims benefit</b>		
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		
<b>19. CORRESPONDENCE ADDRESS</b>		
<input type="checkbox"/> Customer Number or Bar Code Label  (Insert Customer No. or Bar Code Label here) or <input type="checkbox"/> Correspondence address below		
Name	25781	
Address	PATENT TRADEMARK OFFICE	
City	State	Zip Code
Country	Telephone	Fax

Name (Print/Type)	Kathleen Maher Lynch	Registration No. (Attorney/Agent)	33,957
Signature	Kathleen Maher Lynch	Date	6/14/01

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Lavi, et al.** )  
 Serial No.: **Unassigned** )  
 Filed: **June 14, 2001** )  
 For: **"NEEDLE DEVICE AND METHOD THEREOF"** )

## TRANSMITTAL

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

Sir:

Enclosed for filing regarding the above-referenced non-provisional patent application are:

- (1) Utility Patent Application Transmittal (PTO/SB/05);
- (2) Fee Transmittal for FY 2001 (PTO/SB/17 – in duplicate);
- (3) Specification (58 pages);
- (4) Drawings (30 pages); and
- (5) Return Receipt Postcard.

This application claims benefit of U.S. Provisional Application No. 60/215,770 filed June 30, 2000.

The Commissioner is hereby authorized to charge the filing fee and any additional fees which may be required, or credit any overpayment, to Deposit Account No. 05-0670.

Respectfully submitted,

*Kathleen M. Lynch*

Kathleen Maher Lynch  
 Attorney for Applicant  
 Reg. No. 33,957

ELAN HOLDINGS, INC.  
 1300 Gould Drive  
 Gainesville, GA 30504  
 Telephone: (770) 534-8239

Atty. Docket No.: 01.EMT46.US

## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as **Express Mail Invoice No. EK934655069US** in an envelope addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231, on this 14th day of June, 2001.

*Donna L. Jackson*  
 Donna L. Jackson

06/17/01

Jc813 U.S. PTO

PTO/SB/17 (11-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**

(\$ 1,894.00

**Complete if Known**

Express Mail Application Number	EK934655069US
Filing Date	June 14, 2001
First Named Inventor	Gilad Lavi
Examiner Name	-
Group Art Unit	-
Attorney Docket No.	01.EMT46.US

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	050670
Deposit Account Name	Elan Pharmaceutical Research Corporation

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

**SUBTOTAL (1)** (\$ 710**2. EXTRA CLAIM FEES**

Total Claims	Independent Claims	Multiple Dependent (1)	Extra Claims	Fee from below	Fee Paid
53	7		-20** = 33	18	594
			-3** = 4	80	320
				270	270

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$ 1184

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Kathleen Maher Lynch	Registration No. (Attorney/Agent)	33,957	Telephone	770-534-8239
Signature	<i>Kathleen Maher Lynch</i>	Date	6/14/01		

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